

#### **CIRCULAR**

### **NSE**

Segmental Surrender of Membership

SEBI Order in the matter of Front Running Trading activity of Dealers of Reliance Securities Ltd. and

other connected entities

New Enit - Change in clearing member module

**NOTIS** Application

Change in Clearing Member affiliation in Capital Market Segment

SAT Order in respect of Hardik Mithani and Anr.

Addendum to SEBI Order in the matter of KLG Capital Services Limited

Revised MWPL, Participant, Institutional Client & Non-Institutional Limits for MINDAIND

SAT Order in respect of Harivallabh Mundra

Suspension of members

SEBI Order in the matter of Money Plus Research Advisory & Financial Services (Prop. Pravin Meshram)

Restoration of trading rights of member

Collection and reporting of margins by Trading Member (TM) /Clearing

Eicher Motors Limited (EICHERMOT) - Face Value Split

Revised list of Approved Securities and Approved Banks

Collection of Stamp Duty in Capital Market Segment for State of Sikkim

Change in the Constituents of Indices

List of Securities moving to Stage II of Graded Surveillance Measure (GSM)

Applicability of Additional Surveillance Measure (ASM)

List of securities moving out of Graded Surveillance Measure (GSM) - Update

Applicability of Short Term Additional Surveillance Measure (ST-ASM)

Trade for Trade

Total Surrender of Membership

Implementation of Section 51A of UAPA, 1967: Updates to UNSC's Democratic Republic of Congo List

Revised position limits in Equity Index Derivatives (Futures and Options) - Update

Settlement Calendar for Offer for Sale of shares of Hindustan Aeronautics Limited

Increase in margin for Non-F&O Stocks in Cash Market - Update

Execution of Power of Attorney (PoA) by the Client in favour of the Stock Broker / Stock Broker and

**Depository Participant** 

List of Securities moving to Stage II of Graded Surveillance Measure (GSM)

Applicability of Additional Surveillance Measure (ASM)

Applicability of Short Term Additional Surveillance Measure (ST-ASM)

### BSE

Download of Penalty Report for modification of Client Codes of non-institutional trades (All Segments)

Charges for trading with unregistered UCC/UCC without PAN for the month of July,2020

Order in the matter of Castor Seed Contracts at NCDEX.

Relaxation in Compliance requirements – COVID-19

Relaxation in timeline for submitting Half Yearly Networth Certificate as on March 31, 2020.

Addendum in the matter of KLG Capital Services Limited

SAT Order in respect of Shri Hardik Mithani and other

Weekly Submission of Holding Statement

Enhanced supervision: Monitoring of Clients' Funds lying with the Stock Broker for the week ended

August 14, 2020

SAT Order in respect of Shri Harivallabh Mundra

Order in the matter of Money Plus Research and its Proprietor Pravin Meshram

Enhanced supervision: Monitoring of Clients' Funds lying with the Stock Broker

Weekly Submission of Holding Statement

SAT Order in respect of Yatin B Shah

Sub Division of equity shares of HKG LTD. (Scrip Code: 539097).

Guidelines for Online Bidding platform for Sovereign Gold Bond 2020-21: Series VI

Graded Surveillance Measure (GSM) - Shortlisting of scrips

Applicability of Short Term Additional Surveillance Measure (ST-ASM)

Applicability of Additional Surveillance Measure

## MCX

Commencement of Futures Trading in Gold Mini November 2020 Contract and LTP Based Spread

Trading Facility in Gold Mini Futures Contracts

Commencement of Gold Mini (Options in Goods) November 2020 Option Contract with Gold Mini

(100 grams) bar as underlying

Application for Surrender of Membership

Revised timeline for submission of Delivery related documentation

SEBI Order in the matter of trading activities of certain entities in Castor Seeds Contract

Commencement of Futures Trading in Crude Oil February 2021 Contract and LTP Based Spread

Trading Facility in Crude Oil Futures Contracts

Pledge/ Re-pledge of Securities

UNSC Press Release and Sanction Lists

MCX Webinar on Addressing Risk Management Needs of Bullion and Jewellery Industry

# **ICEX**

COMMENCEMENT OF FUTURES TRADING IN SIX NEW CONTRACTS

COMMENCEMENT OF FUTURES TRADING IN SOYOIL CONTRACT

COMMENCEMENT OF FUTURES TRADING IN SIX NEW CONTRACTS

UNSC PRESS RELEASE AND SANCTIONS LIST

### **NCDEX**

Acceptance of Surrender of empanelment by M/s. Kalyx Warehousing Private Limited as Warehouse

Service Provider

SEBI order in the matter of trading in castor seed contracts

Final Settlement Price of contracts expired on August 20 2020

Application for Surrender of membership

Margin Obligations to be given by way of Pledge/ Re-pledge

Updates for Implementation of Section 51A of UAPA, 1967

Settlement Calendar and timelines for submission of delivery intentions for all the contracts expiring in September 2020

Execution of Power of Attorney by the Client in favour of the Stock Broker

## **NSDL**

2020-0109-Policy-Frequently Asked Questions on SEBI Circular on Clarification on Know Your Client

(KYC) Process and Use of Technology for KYC

2020-0049-Tender Offer Mrugesh Trading Limited- Tender offer for Takeove....

2020-0110-Policy-Implementation of Margin obligations to be given by way of Pledge Re-pledge in

the NSDL System

2020-0111-Policy-Availability of NSDL Depository System on Sunday, August 16, 2020

2020-0112-Policy-Amendments to Business Rules of NSDL-Partial Re-pledge Release Invocation

2020-0114-Policy-Amendments to Business Rules of NSDL

2020-0115-Policy-Annexure 1

2020-0116-Policy-SEBI circular dated August 18, 2020

2020-0117-Policy-Availability of NSDL Depository System on Sunday, August 23, 2020

2020-0119-Policy-Implementation of Section 51A of UAPA, 1967 Updates to UNSC so Democratic

Republic of Congo List

2020-00119-Policy-SEBI Press Release dated August 25, 2020

## **INDIA INX**

Adjustment of Futures and Options contract of Eicher Motors Ltd. on account of Stock Split

Introduction of ALGO Surrender Module in IIeFs

Securities and Exchange Board of India (International Financial Services Centres) Guidelines, 2015 -

Amendments

Implementation of Section 51A of UAPA, 1967: Updates to UNSC's Democratic Republic of Congo List

Mock Trading on Saturday, August 29, 2020

Regulatory measures to continue

Payment of SEBI Turnover fees for the month of August 2020

## **NSE IFSC**

Change in Underlying Name & Symbol

Adjustment of Futures and Options contracts in the security EICHERMOT

Cross margin benefit between Indices and constituent stocks

Operating ranges applicable to Futures contracts

Regulatory measures introduced by SEBI to continue in view of ongoing uncertainty

Cross margin benefit between Indices and constituent stocks

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